

PTO-1390		ATTORNEY DOCKET NUMBER <b>87136</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/559,996</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP2004/006315</b>	INTERNATIONAL FILING DATE <b>June 11, 2004</b>	PRIORITY DATE CLAIMED <b>June 11, 2003</b>
TITLE OF INVENTION <b>REAGENTS FOR MODIFYING BIOPHARMACEUTICALS, THE USE AND PRODUCTION THEREOF</b>		
APPLICANT(S) FOR DO/EO/US <b>Krähmer et al.</b>		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li><input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. Please do not delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li><input type="checkbox"/> The US has been elected (Article 31).</li> <li><input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto.</li> <li><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).             <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>Items 11. to 20. below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input type="checkbox"/> A Preliminary Amendment.</li> <li><input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</li> <li><input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li><input checked="" type="checkbox"/> Other items or information: <b>English translation of International Preliminary Report on Patentability.</b></li> </ol>		

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**"Express Mail" Mailing Label Number **EV 769060113 US**Date of Deposit **OCT 03 2006**

I hereby certify that this paper or fee is being deposited with sufficient postage utilizing the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Typed or printed name of person mailing)

(Signature of person mailing)

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/559,996</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP2004/006315</b>		ATTORNEY DOCKET NUMBER <b>87136</b>	
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The following fees are submitted:					<b>Calculations</b>	<b>PTO use only</b>
21.	<input type="checkbox"/>	Basic national fee (37 CFR 1.492(a))	\$300.00	\$		
22.	<input type="checkbox"/>	Examination fee (37 CFR 1.492(c)) If the Written Opinion prepared by ISA/US or the International Preliminary Examination Report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)	\$ 0.00	\$		
		All other situations	\$200.00	\$		
23.	<input type="checkbox"/>	Search fee (37 CFR 1.492(b)) If the Written Opinion of the ISA/US or the International Preliminary Examination Report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)	\$ 0.00	\$		
		Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority	\$100.00			
		International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB	\$400.00			
		All other situations	\$500.00			
<b>TOTAL OF 21, 22 and 23 =</b>				\$ 0.00		
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to whole number)	RATE			
62 - 100 =	0 / 50 =	0.00	0	x	\$250.00	\$ 0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	25	- 20 =	5	x	\$50.00	\$ 250.00
Independent Claims	2	- 3 =	0	x	\$200.00	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)					\$360.00	\$ 360.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>					\$ 740.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by half.					\$ 370.00	
<b>SUBTOTAL =</b>					\$ 370.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(ii)).					\$	
<b>TOTAL NATIONAL FEE =</b>					\$ 370.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.					\$	
<b>TOTAL FEES ENCLOSED =</b>					\$ 370.00	
10/11/2006 LLANDGRA 00000063 061135 10559996					<b>Amount to be refunded:</b>	\$
01 FC:2617 65.00 DA					<b>Amount to be charged:</b>	\$
02 FC:2615 125.00 DA						
03 FC:2616 180.00 DA						
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 06-1135 in the amount of \$ <u>370.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. (<i>Trans. Nos. 32017; 32018 and 32019</i>).</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1135. A duplicate copy of this sheet is enclosed.</p> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>FITCH, EVEN, TABIN &amp; FLANNERY 120 South LaSalle Street, Suite 1600 Chicago, IL 60603-3406 U.S.A.</p> <p>Telephone: (312) 577-7000 Facsimile: (312) 577-7007</p>						

SIGNATURE  
 \_\_\_\_\_  
 James P. Krueger  
 NAME  
 \_\_\_\_\_  
 35,234  
 REGISTRATION NUMBER

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# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

SEP 22 2005

U.S. APPLICATION NUMBER NO. 10/559,996	FIRST NAMED APPLICANT Ralf Krahmer	ATTY. DOCKET NO. 87136
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22242  
 FITCH EVEN TABIN AND FLANNERY  
 120 SOUTH LA SALLE STREET  
 SUITE 1600  
 CHICAGO, IL 60603-3406

INTERNATIONAL APPLICATION NO.
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PCT/EP04/06315

I.A. FILING DATE	PRIORITY DATE
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06/11/2004

06/11/2003

CONFIRMATION NO. 4587

371 FORMALITIES LETTER



\*OC000000020452924\*

Date Mailed: 09/18/2006

Due 11/18/06

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/08/2005
- English Translation of the IA filed on 12/08/2005
- Copy of the International Search Report filed on 12/08/2005
- Preliminary Amendments filed on 12/08/2005
- U.S. Basic National Fees filed on 12/08/2005
- Priority Documents filed on 12/08/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of **\$660** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$790** for a Large Entity:

- \$130 Surcharge.
- Total additional claim fee(s) for this application is \$ 660
  - \$300 for 6 total claims over 20.
  - \$360 for multiple dependent claim surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/559,996	PCT/EP04/06315	87136